QUESTIONNAIRE ON PORT OPERATIONS STANDARDS

The questionnaire is intended to generate information from APA members on the procedures being implemented, utilization of resources and standards adopted in port operations particularly those pertaining to cargo handling, pilotage and tug services. It is important that complete and accurate answers are provided since the latter will provide the basis for the preparation of the Best Practices Manual in the ASEAN.

One set of questionnaire should be accomplished per port/terminal. Questions are grouped by major area. Answers to the questions may be indicated by checking the corresponding box or by filling-in the blanks/tables provided after the questions or by indicating "Not Applicable".

It will be very helpful if respondent ports will include a brief narrative/discussion of issues/problems relating to areas/questions covered by the survey. Such narrative should include the proposed solutions or actions undertaken by the port to address issues/problems identified. Please use separate sheets if necessary.

Α.	General Information								
	1. Country: VIETNAM								
	2. Name of Port/Terminal : SAIGON PORT								
	3. Category of Respondent	1 5.1 6							
	q Port Authority	q Private Contra							
	þ Port Owner	q Terminal Oper							
	þ Port Operator	q Others							
В.	Cargo Handling								
	1. Who is responsible for undertaking cargo ha	andling?							
	p Port Authority/Owner/Operator								
	q Private Contractor/ Terminal Operator								
	q Port Authority/Owner and Private Contracto	r /Terminal Operator							
q Others (Please specify)									
	Please attach relevant guidelines, systems and monitoring and evaluation of performance/pro Likewise, include issues/problems encountered undertaken.	oductivity of cargo hand d and proposed solutions	ling operators. s or actions						
	2. What is the volume of cargo handled in CY	2000, classified by type	?						
	Type of Cargo	Volume (in metric							
		ton)							
	Container	2,272,720							

I	Bulk (Liquid)	NIL	
I	Bulk (Dry)	3,173,941	
(General Cargo	4,254,325	
	Others (Please specify)		

- 3. What positions/skills and number of cargo handling personnel are available?
 - a. Equipment Operators

Position/Skills Type	Actual No. Available
Forklift Operator	151
Reach Stacker Operator	131
RTG Operator	11
Straddle Carrier Operator	
Mobile Crane Operator	61
Gantry Crane Operator	
Primemover & Trailer Operator	61
Truck/Buldozer/Trimmer Operator	04
Others (Please specify)	

b. Port-workers

	Position/Skills Type	Actual No. Available
Si	tevedore	1,326
W	Vinchman	104
Si	gnalman	52
T	imekeeper	NIL
T	allyman	149
F	oreman	
S	hip Supervisor	63
О	thers (Please specify)	

	4.	<u>How do e</u>	qui	pment o	perators/	<u>portworkers</u>	acquire	their	skills	for o	cargo	Handling	<u> </u>
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- q Experience
- þ Formal Training (state training institution)
- HO CHI MINH CITY UNIVERCITY OF TRANSPORT
- SAIGON PORT TECHNICAL SCHOOL
 - þ On-the-job
- q Others (Please specify)
- 5. <u>Is there a continuing skills enhancement program for:</u>

a. Portworker

q None

þ Yes (Please identify): SHORT REFRESHER COURSES HELD EVERY YEAR TO RE-TRAIN WORKERS IN

COMPLIANCE WITH THEIR POSITIONS/SKILLS

b. Equipment Operator

q None

b Yes (Please identify): *NEW TECHNOLOGIES ON EQUIPMENT MAINTAINANCE AND OPERATIONS ARE OFTEN*

INTRODUCED TO EQUIPMENT OPETRATORS IN THE OCCASION OF THE PROCUREMENT OF NEW EQUIPMENT AND RE-TRAINING COURSES HELD BY THE

PORT.

- 6. What are the basis for portworkers'/equipment operators' compensation?
- b Existing laws
- b Collective Bargaining Agreement

q Others (Plea	ase specify)_	
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- 7. What monetary and non-monetary benefits do portworkers/equipment operators get?
- p Salary BASED ON QUANTITY/VOLUME OF CARGOES HANDLED.
- p Overtime pay ON NATIONAL HOLIDAYS & LUNAR NEW YEAR..
 - q Night Differential Pays
- b Meal Allowance
- b Medical Allowance
- b Uniform Allowance
- q Others (Insurance, social security, etc. please specify)

HEALTH INSURANCE, SOCIAL SECURITY.

Please provide copies of the following (if available):

- · Manpower Development Program
- Guidelines, systems and procedures in the evaluation of performance of equipment operators and portworkers.
- Benefits/Incentives given to equipment operators and portworkers.
- 8. What and how many are the equipment?
 - a. Quay Equipment

Equipment Type	Capacity	Number of Units
Quay Cranes		
- MOBILE CRANE	80 ~ 100 MT	
	10 ~ 30 MT	5

- CRAWLER CRANE	60 ~ 90 MT	29
- FLOATING CRANE	100 MT	2
Primer movers		1
Trailers/Chassis	20'/40' container	19
Forklift	Below 10 Tons	available
	10 Tons & above	
Truck/Dozer/Trimmer		
Others (Please specify)		61

b. Yard Equipment

Equipment Type	Capacity	Number of Units
Straddle Carrier		
Reach Stacker	42 Tons	7
Forklift		
- Front-end Loader	Below 10 Tons	98
	10 Tons & above	7
- Top Loader	Below 45 Tons	6
	45 Tons & above	
Mobile Crane	Below 10 Tons	5
	10 Tons & above	31
Rubber Typed Gantry	Below 35 Tons	2
	35 Tons & above	
Others (Please specify)		

Please attach guidelines, systems and procedures (if any) used in the utilization and development of quay and yard equipment.

- 9. What is the lead time in terms of number of days, hours and/or minutes for requesting portworker and/or equipment?
 - a. Request for Portworker
 - q In number of days
 - b In number of hours 8 HOURS
 - q In number of minutes
 - b. Request for Equipment
 - q In number of days
 - b In number of hours 8 HOURS
 - q In number of minutes

Please attach copies of guidelines, systems and procedures (if any) used in the utilization and development of quay and yard equipment.

0. Are portworkers, and/or equipment for cargo handling always available upon request?
þ Yes
No (Identify situations when not available)

Cite problems encountered and proposed solutions or actions taken to address identifie
roblems.
1. How are portworkers assigned to a particular cargo handling operation?
Rotation
"First-Come/First-serve" basis
Per work schedule
Others (Please specify)
Please cite problems/constraints attendant to portworkers' deployment and proposed
olutions or actions
indertaken to correct problems.
muchimen to correct problems.

12. Does the port have a Cargo Location System?

q No

p Yes (Identify whether manual or computer-based and describe briefly)

(CY MANAGEMENT SYSTEM (COMPUTER NETWORK)

Please provide copies of manual, flowchart and related documents.

13. Identify documents used, persons responsible for preparation and schedule of submittal.

a. Containerized

Type of	Name of Doc &	Who	To Whom	When
Operation	Data Elements	Prepares	Submitted	Submitted
Cargo	REPORT ON	CHIEF	- SHIP	ON & AFTER
Discharging	RECEIPT OF	TALLY	- CONSIGNEE	DEPARTURE
	CARGO		- OTHERS IF IN	OF SHIP
			NEED	
Cargo	EQUIPMENT	WARE-	- CONSIGNEE OR	UPON
Delivery	INTERCHANGE	HOUSING	RECEIVER	DELIVERY
-	RECEIPT	OFFICE	- OTHERS IF IN	
			NEED	
Cargo Entry	EQUIPMENT	WARE-	- SHIPPER	UPON ENTRY
	INTERCHANGE	HOUSE	- OTHERS IF IN	
	RECEIPT	OFFICE	NEED	
Cargo	GENERAL	CHIEF	- SHIP	ON & AFTER
Loading	REPORT OF	TALLY	- SHIPPER	DEPARTURE
	LOADING		- OTHERS IF IN	OF SHIP

			NEED	
Shifting	SHIFTING	- SHIP	TO PORT FOR	BEFORE OR
	ORDER	- SHIP'S	<i>IMPLEMENTATION</i>	ON HANDLING
		AGENT		OPERATIONS

b. Non-containerized

Type of	Name of Doc & Data	Who	To Whom	When
Operation	Elements	Prepares	Submitted	Submitted
Cargo	REPORT ON RECEIPT OF	CHIEF	- SHIP	ON & AFTER
Discharging	CARGO	TALLY	- CONSIGNEE	DEPARTURE
			- OTHERS IF IN	OF SHIP
			NEED	
Cargo	CARGO	WARE-	- CONSIGNEE OR	UPON
Delivery	RECEIPT/DELIVE-RY,	HOUSING	RECEIVER	DELIVERY
	INTO/FROM	OFFICE	- OTHERS IF IN	
	WAREHOUSES		NEED	
Cargo	CARGO	WARE-	- SHIPPER OR	UPON
Entry	RECEIPT/DELIVE-RY,	HOUSING	DELIVERER	ENTRY
	INTO/FROM	OFFICE	- OTHERS IF IN	
	WAREHOUSES		NEED	
Cargo	GENERAL REPORT OF	CHIEF	- SHIP	ON & AFTER
Loading	LOADING	TALLY	- SHIPPER	DEPARTURE
			- OTHERS IF IN	OF SHIP
			NEED	
Shifting	SHIFTING ORDER	- SHIP	TO PORT FOR	BEFORE OR
		- SHIP'S	IMPLEMENTATION	ON
		AGENT		HANDLING
				OPERATIONS

c. <u>Define Each Unique Data Elements States in Column I</u>

Data Element	Definition	
REPORT ON RECEIPT	CONFIRM THE TOTAL QUANTITY OF	
OF CARGO	CARGOES DISCHARGED FROM VESSEL	
GENERAL REPORT OF LOADING	CONFIRM THE TOTAL QUANTITY OF CARGOES LOADED ON BOARD THE VESSEL	
EQUIPMENT INTERCHANGE RECEIPT	TO DELIVER/RECEIVER CONTAINER THROUGH THE PORT	
SHIFTING ORDER	FOR STEVEDORES (THE PORT) TO IMPLEMENT THE SHIFTING OPERATIONS	
CARGO	TO DELIVER/RECEIVER BREAKBULK/BULK	

	RECEIPT/DELIVERY, INTO/FROM WAREHOUSES	CARGOES THROUGH THE PORT			
	14. Are there problems encounte	ered with respect to documentation of the activities			
ļ	enumerated in 13.1 and 13.2? (Identify the nature of the problems if any)				

NO

Please provide a copy of report (if any) on proposed solutions and actions undertaken to identified problems.

- 15. Identify security measures implemented in the operational area.
- q Only authorized labor have access to operational areas.
- b Authorized laborers are in uniform and wear IDs and protective gears/hat.
- b Slogans and safety signs are posted in conspicuous places.
- q Pedestrian access lanes are clearly delineated.
- b Security personnel are posted in strategic locations.
- q Others (Please specify)

Please attach copy of relevant documents on operational security, such as: guidelines, rules and regulations, problems encountered in the4 implementation, penalties and sanctions, and, proposed solutions or actions undertaken.

- 16. Are there incidences of breaches to the security of cargoes and people within the port premises?
 - a. Cargo
 - b No
 - q Yes (Please specify nature and frequency of occurrence as well as reasons for breakdown in security).

- b. Port Personnel/Users
 - b No
 - q Yes (Please specify nature and frequency of occurrence as well as reasons for breakdown in security).

- 17. Are there existing procedures for setting claims brought above by loss of/damaged to life/property?
- q No
- by Yes (Briefly explain steps followed, time element involved, persons/agencies involved and form required, nature and frequency of occurrence as well as reasons).

MINUTES (OR STATEMENT) SET UP BETWEEN THE PORT'S REPRESENTATIVE (SUCH AS CARGO SUPERINTENDENT, FOREMAN, SAFETY OFFICER, V.V.) AND

ALL CONCE	RNED PARTIES WHEN INCIDENTS HAPPEN TO LIFE/PROPERTIES
	a copy of guidelines, systems and procedures including flowchart (if any), form ns encountered, and, proposed solutions or actions undertaken.
18.	
q `	existing billing and collection system fully automated? Yes
þ No b. If yes	, describe the system and include flow charts?
	a copy of manual; flowchart (if any); forms used; problems encountered; and, utions or actions undertaken.
19. <u>Are the so</u> <u>time</u> ? þ Yes	ource documents for purposes of timely billing of port charges received on
q No. Please minutes/hour	explain (Please identify the average period of delay in terms of number of s/days).
	e cite penalties and sanctions in case of delay, problems encountered, and utions or actions undertaken.
charges?	ate in the source document complete and accurate for purposes of billing port
þ Yes q No. Please	explain
þ Yes	go handling tariff schedule used uniform for all ports/terminals? explain
	ach copy of port tariff schedule and guidelines, systems and procedures for computing cargo handling tariff.
22. <u>Are autho</u>	orized cargo handling tariff properly billed and collected?

þ Yes	
q No. Please explain	
-	

Please identify issues/problems encountered in billing and collection of cargo handling tariff, proposed solutions or actions taken, and penalties and sanctions

23. <u>Do existing port operations systems interface with other parties and systems at the port/terminal?</u>

(Identify with whom and explain briefly nature of interface, e.g. with Shippers when cargo information is uploaded prior to/upon vessel arrival, with Customs when cargoes are cleared for loading/delivery, etc

q No

b If yes, indicate

Name of System Interfaced With	Nature of Interface	With Whom	When Interfacing is done	
· · · · · ·	EXCHANGE ON	- SHIPPING LINES	REGULARLY	

Please attach copy of manual of each system, flowchart (if any), forms used, problems encountered

and, proposed solutions or actions undertaken

24. Identify productivity standards used, if any, in the various major areas of port operations.

Particulars	Actual Performance	Standards Prescribed
Container Cargo Handling (boxes per crane hour)	14	15
General Cargo Handling (metric tons per gross gang hour)	15	19
Dry Bulk Handling (metric tons per ship hour)	250	300
Liquid Bulk Handling (metric tons per ship hour)		
Vessel Service Time/ Berthing Time (hrs)	16 / 24	20 / 24

Waiting Time (hrs)		
Port Turnaround Time (hrs)		
Berth Occupancy (%)	47%	70%

25. What are the reasons for inability to meet target productivity level of operation?

CUSTOMS CLEARANCE, LATE OF ARRIVAL, LACK OF CARGO FLOW,...

Please attach relevant guidelines, systems and procedures for monitoring compliance to productivity standards, problems encountered, and proposed solutions or actions undertaken.

26. What amenities/facilities are available at the port/terminal or operational areas?

þ Toilet

b Drinking Station

b Calling Station

q Others (Please specify)_____

C. Pilotage

1	Is	nilotage	service a	compulsory	requiremen	t of the	Authority	v?
	10	prioruge	boi vice a	Compansor	1 cquii ciiicii	t OI tile	1 Iuuioiit	y •

b Yes

q No

2. <u>If yes</u>,

- a. Who provides pilotage services?
 - q Port Authority/Owner/Operator
 - q Terminal Operator
 - q Private tug Operator
 - b Others (Please specify)

STATE-RUN PILOT CORPORATION

b. What are the qualifications required of pilots?

PROFESSIONAL

c. How many vessels avail of pilotage services per year?

ABOUT 3,852 VESSELS ENTERING THE PORTS IN HO CHI MINH CITY AREA Please attach relevant guidelines, systems and procedures (if any) used in the selection, monitoring and

evaluation of performance/productivity of providers of pilotage services.

Discuss issues/problems encountered and proposed solutions or actions undertaken.

d. <u>How many harbor pilots are available?</u>

50

e. Who prescribes pilotage rates?

PILOTAGE RATES PROMUGATED BY THE STATE PRICING COMMETTEE

UNIT PRICE FOR EACH ENTRY OR DEPART APPLIED FOR ALL AREAS (EXCEPT SOME WATERWAYS WITH SPECIFIC PROVISIONS)

No.	DISTANCE OF PILOTAGE	UNIT PRICES (USD/GRT- NAUTICAL MILES)	MINIMUM RATES (USD/VESSEL/EACH TIME)
1	UP TO 10 MILES	0,0034	100
2	UP TO 30 MILES	0,0031	120
3	UP TO 60 MILES	0,00262	150
4	> 60 MILES	0,0022	170

Please attach copy of schedule of pilotage rates, guidelines, systems and procedures for determination, problems encountered and proposed solutions or actions undertaken to address problems.

3. Please identify the requirements for the accreditation/licensing of pilots.

BEING GRADUATED WITH A DEGREE OF MASTER FOR SEA-GOING VESSEL AND COMPLETING A PERIOD OF APPRENTICESHIP WITH AND BEING WELL EVALUATED BY A PILOT CORPORATION.

4. What are the procedures for accrediting pilots? Please attach flowchart and pertinent documents.

CERTIFICATE OF PILOT ISSUED BY PILOT VIETNAM NATIONAL MARITIME BUREAU (VINAMARINE) TO QUALIFIED PILOTS WITH CLASSIFICATION AND ACCREDITION.

).	Tug Services
	1. Are tug services compulsory?
	þ Yes
	q No
	2. If yes, who provides tug services?
	þ Port Authority/Owner/Operator
	q Port Authority/Owner/Operator
	q Terminal Operator
	q Private tug Operator
	q Others (Please specify)
	Please attach relevant guidelines, systems and procedures (if any) used in the selection,
	monitoring and evaluation
	of performance/productivity of tug services providers. Discuss issues/problems encountered
	and proposed solutions
	or actions undertaken

(NOT ATTACHED)

3. What is the capacity (in horsepower or hp) of the tugboat, how many of them are required for a given size (in

GRT) of vessel and what is the prescribed rate or charge? (Please identify the size of vessel in GRT, e.g. less

than 500 GRT – no tug is required, 500 GRT to $3{,}000 \text{ GRT}$ – 1 tug of $1{,}000 \text{ hp}$, $3{,}000 \text{ GRT}$ to $7{,}000 \text{ GRT}$ – 2

tug of 1,000 hp each.)

Size of Vessels (range in LOA)	No. & Capacity of Tug (hp)	Rate/Charge (in US\$)	
70 – 95 M	1 x 500HP	REFERRED TO (9)	
95 – 120 M	2 x 500HP		
120 – 145 M	1 x 500HP + 1 x 1000HP		
145 – 160 M	2 x 1000HP		
160 – 175 M	1 x 1000HP + 1 x 1500HP	KEFEKKED IO (9)	
175 – 190 M	2 x 1500HP		
190 – 205 M	1 x 1500HP + 1 x 2000HP		·
ABOVE 205 M	2 x 2000HP		

Please attach guidelines, systems and procedures for determination of tug capacity requirement, problems encountered and proposed solutions or actions undertaken.

4. What are the liabilities and obligations of the tugboat operator?

To Client	PROVIDE TUG ASSISTANCES	
1	TO VESSELS MANEUVERING	
1	TO ENTER IN/DEPART FROM	
 	THE PORT.	
To Port Authority	CARRY OUT THE ORDERS OF	
	THE PORT AUTHORITY AS	
	REGULATED BY VIETNAM	
	MARITIME CODE.	
To Others (Please specify)		
` ' '		

Please attach relevant guidelines, rules and regulations, penalties and sanctions imposed for non-compliance to obligations.(NOT ATTACHED)

5. What are the types of tug services being provided? (Examples are towing, assistance to entering/departing vessel, etc.)

b Towing assistance to entering/departing vessels

b Others (Please specify)

EMERGENCY RESPONSE / TOWAGE AND SALVAGE SERVICES ON SEA

6. Please identify the manpower involved in berthing/unberthing and give their qualifications.

Type of manpower	Number Required	Qualifications	
LINEMAN	4	PROFESSIONAL	

7. Please identify the requirements for the accreditation/licensing of tug service, citing documents submitted, to

which authorities and other relevant information.

Required Documents	Submitted To	
REGISTER FORM (FOR	VIETNAM INLAND	
TUGBOAT WITH HP BELOW	WATERWAY	
1,000HP)	ADMINISTRATION	
REISTER FORM (FOR	VIETNAM NATIONAL	
TUGBOAT WITH HP ABOVE	MARITIME BUREAU	
1,000HP)	(VINAMARINE)	

Please attach guidelines, procedures sample forms and relevant documents.

- 8. What are the mandatory tug requirements for berthing and unberthing of Vessels?
 - a. Requirements for Berthing ORDERS ISSUED BY SHIP'S AGENTS
 - b. Requirements for Unberthing

ORDERS ISSUED BY SHIP'S AGENTS

Please submit pertinent guidelines, procedures and sample forms.(NOT ATTACHED)

- c. How are the rates for tug services determined?
 - RATES/CHAGES FOR TUGBOAT ASSISTANCE PROMULGATED BY THE STATE PRICING COMMITTEE

CHARGES FOR TUG BOAT ASSISTANCE

- £ 500HP : **0,34** \$/HP/HR.
- 501HP-1000HP : 170 \$/HR FOR THE FIRST 500HP +

0,26 \$/HP/HR FOR EACH ADD'L HP.

- 1001HP-1500HP : **300** \$/HR FOR THE FIRST 1000HP +

0,15 \$/HP/HR FOR EACH ADD'L HP.

- ³ 1501HP : **375** \$/HR FOR THE FIRST 1500HP +

0.05 \$/HP/HR FOR EACH ADD'L HP.

DURATION: TUGBOAT LEAVES ITS BASE & RETURNS.

MINIMUM: 1 HR/EACH.

IN CASE TUGBOAT ORDERED OUTSIDE THE PORT AREA RECEIVING THE VESSELS: CHARGES NEGOTIATED BETWEEN THE TUGBOAT OWNER AND THE ORDERER. BUT NOT EXCESS 60% THE RATE

TUGBOAT ARRIVED ON TIME/VESSEL NOT YET ARRIVED: 50% THE

RATES/WAITING TIME.

VESSEL DOES **NOT ARRIVE OR MOVE**, THE TUG HAS TO RETURN: **50%** THE RATES/WAITING TIME.

EXTRA CHARGES:

- ASSISTANCE IN WIND FORCES OF SCALES 6, 7: INCREASE 30%; ABOVE SCALE 7: INCREASE 100%
- ASSISTANCE IN CASE OF SALVATION: RATE AS AGREED UPON BETWEEN THE ENTITY PROVIDING AND THE

ENTITY REQUESTING THE SALVAGE

- TUGBOATS USED FOR TOWING/PUSHING: THE RATES FOR HIRING TRANSPORT MEANS IN III/4.3
- ADVANCE NOTICE 2 HOURS. LATE ADVICE OR CANCELLATION: WAITING CHARGE.
- SPECIFIC PRICE APPLIED FOR PASSENGER VESSELS NAVIGATED IN REGISTERED WATERWAYS AND SCHEDULES
- NUMBER AND HORSEPOWER OF TUGBOAT AS STIPULATED BY THE HARBOR MASTER

Please attach copy of schedule of tug services rates, guidelines, systems and procedures for determination tug charges. Discuss problems encountered and proposed solutions or actions undertaken.

10. Please explain briefly the established mechanism for determining the liability of the tugboat operator and

compensating aggrieved party, e.g. port authority for damage to port facilities or shipping line for damage to

vessels.

TUGBOAT OPERATOR SET UP AND OPERATING UNDER THE VIETNAMESE MARITIME CODE WITH THE LIABILITIES AND OBLIGATIONS AS REGULATED IN THE CODE.

Please attach relevant guidelines, systems and procedures, and flowchart. Identify issues/problems encountered proposed solutions or actions undertaken.

(NOT ATTACHED)

As additional reference materials, it will be highly appreciated if you provide a copy of the following documents:

- Annual reports
- Technical studies/Research Papers/Country Papers pertaining to topics covered by the Survey.
- Study on Environmental Assessment or Strengths/Weaknesses, Opportunities and threats (SWOT)
- Package of Incentives Available to Cargo Handling, Pilotage and Tug

Service Providers

- Value-Added Services Offered by Service providers
- Institutional Arrangements/Linkages
- Extent of Private Sector Involvement/Investment in Cargo Handling, Pilotage and Tug Operation
- Degree of Automation/Computerization of Port Operation